



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/921,986	08/03/2001	Bruce A. Epstein	2386/102

CONFIRMATION NO. 6869

FORMALITIES LETTER



OC000000006541837

Jeffrey T. Klayman
Bromberg & Sunstein LLP
125 Summer Street
Boston, MA 02110-1618

Date Mailed: 09/11/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 420.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/26/2001 SSESHE1 00000052 09921986

01 FC:201 355.00 OP
02 FC:205 65.00 OP



Practitioner's Docket No. 2386/102

See
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Epstein
Application No.: 09/921,986
Filed: August 3, 2001
For: Information Collaboration and Reliability Assessment

Group No.:
Examiner:

Box Missing Part
Commissioner for Patents
Washington, D.C. 20231

COMPLETION OF FILING REQUIREMENTS
-- NONPROVISIONAL APPLICATION

I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed September 11, 2001.

A copy of the Notice to File Missing Parts of Application--Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH

II. The declaration or oath that was filed was determined to be defective. A new original oath or declaration is attached.

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. SECTION 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

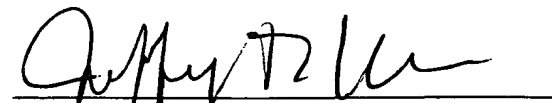
MAILING

☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

FACSIMILE

☐ transmitted by facsimile to the Patent and Trademark Office at (703) ____ - ____.

Date: September 21, 2001



Signature

Jeffrey T. Klayman
(type or print name of person certifying)



SMALL ENTITY STATUS

III. A statement that this filing is by a small entity is in Applicant's file.

COMPLETION FEES

IV.

1. Filing Fee

Original patent application (37 C.F.R. Section 1.16(a))	\$ 355.00
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2. Fees for Claims

Each independent claim in excess of 3 (37 C.F.R. Section 1.16(b))	\$ 0.00
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Each claim in excess of 20 (37 C.F.R. Section 1.16(c))	\$ 0.00
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3. Surcharge Fees

Late payment of filing fee and/or late filing of original declaration or oath (37 C.F.R. Section 1.16(e))	\$ 65.00
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Total Completion Fees	\$ 420.00
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EXTENSION OF TIME

V. The proceedings herein are for a patent application, and the provisions of 37 C.F.R. Section 1.136(a) apply.

Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and

fee for extension of time.

TOTAL FEE DUE

VI. The total fee due is:

Completion fees	\$420.00
Extension fee (if any)	\$0.00
 Total Fee Due	 \$420.00

PAYMENT OF FEES

VII. Enclosed is a check in the amount of \$420.00.

Please charge Account No. 19-4972 for any fees that may be due by this paper.

AUTHORIZATION TO CHARGE ADDITIONAL FEES

VIII. The Commissioner is hereby authorized to charge the following additional fees that may be required by this paper and during the pendency of this application to Account No. 19-4972.

- 37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)
- 37 C.F.R. Section 1.16(b), (c) and (d) (presentation of extra claims)
- 37 C.F.R. Section 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)
- 37 C.F.R. Section 1.17(a)(1)-(5) (extension fees pursuant to Section 1.136(a))
- 37 C.F.R. Section 1.17 (application processing fees)



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